

# LARK TRADING AND FINANCE LIMITED

CIN: L34102UP1987PLC009222

REGD. OFFICE: C-273, Sector-63, Noida-201301, Uttar Pradesh

Telephone-01122159466, Email:larktradingfinance@gmail.com website: www.larktrading.in

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Date: 09.05.2020

The Manager-Listing,  
**Metropolitan Stock Exchange of India Ltd.**  
Vibgyor Towers, 4<sup>th</sup> Floor, Plot No. C 62,  
G- Block, Opp. Trident Hotel  
BandraKurla Complex,  
Bandra (E), Mumbai- 400098

The Manager  
**The Calcutta Stock Exchange Ltd.**  
7, Lyons Range, Murgighata,  
BBD Bagh, Kolkata  
West Bengal – 700001

**Symbol: LARK**

**Scrip Code:022126**

**Subject: Compliance with Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sir,

Please find enclosed herewith Certificate by Practicing Company Secretary for the half year ended on **31<sup>st</sup> March, 2020** in compliance with the regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Kindly take the above information on your records.

Thanking you,

Yours Faithfully,

**For Lark Trading and Finance Limited**

Sd/-

**Sumit Tayal**  
**Whole Time Director**  
**DIN: 06598044**

**Place: Noida**



**JAIN P & ASSOCIATES**

**Company Secretaries**

**Add: B-40, Phase-2, Vivek Vihar, Delhi-110095**

**E-Mail: [jainpandassociates@gmail.com](mailto:jainpandassociates@gmail.com), Ph. +91-9599473294**

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED ON 31<sup>ST</sup> MARCH, 2020**

I have examined all activities in relation to both Physical and Electronic Transfer facility relating to **Lark Trading And Finance Limited** as maintained by **Satellite Corporate Services Pvt. Ltd.** pertaining to transfer of equity shares of the Company for the period from **1<sup>st</sup> October, 2019 to 31<sup>st</sup> March 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and the Listing Agreement entered into by **Lark Trading And Finance Limited** with exchange(s) and based on the information provided by the company, I hereby certify the following:

That no Share/Debenture Certificate(s) relating to the Transfer of Share/Debenture received during the period from **1<sup>st</sup> October, 2019 to 31<sup>st</sup> March 2020** which could be entered in the Memorandum of Transfers and which could have been issued within thirty days from the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies in terms of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

**Date: 09.05.2020**

**Place: Delhi**

**For Jain P & Associates,  
Company Secretaries**

**PREETI** Digitally signed by  
**PREETI MITTAL**  
Date: 2020.05.09  
**MITTAL** 15:43:17 +05'30'

**Preeti Mittal**

**Company Secretary**

**M.NO. – 41759**

**C.P. NO.-17079**

**UDIN: A041759B000220278**