

# LARK TRADING AND FINANCE LIMITED

CIN: L34102UP1987PLC009222

REGD. OFFICE: C-273, Sector-63, Noida-201301, Uttar Pradesh  
Email:larktradingfinance@gmail.com website: www.larktrading.in

Date: 10.4.2021

The Manager-Listing,  
**Metropolitan Stock Exchange of India Ltd.**  
501, Vibgyor Tower, 5th Floor, G Block  
Bandra Kurla Complex, Bandra East  
Mumbai 400098

**Symbol: LARK**

The Manager  
**The Calcutta Stock Exchange Ltd.**  
7, Lyons Range, Murgighata,  
BBD Bagh, Kolkata  
West Bengal – 700001

**Scrip Code:022126**

**Subject:Compliance with Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sir,

Please find enclosed herewith Certificate by Practicing Company Secretary for the half year ended on **31<sup>st</sup> March, 2021** in compliance with the regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Kindly take the above information on your records.

Yours Sincerely,

**For Lark Trading and Finance Limited**



**Sumit Tayal**  
**Whole Time Director**  
**DIN: 06598044**

**Place: Noida**





**OJHA & ASSOCIATES**

**Company Secretaries**

**473, Patel Nagar, P.O. Harjinder Nagar, Kanpur-208007**

**E-Mail: [cstanavojha@gmail.com](mailto:cstanavojha@gmail.com), Ph: +91-9198069316**

**UDIN: A029658C000036896**

**CERTIFICATE UNDER REGULATION 40(9) OF THE**  
**SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)**  
**REGULATIONS, 2015 FOR THE HALF YEAR ENDED ON 31<sup>ST</sup> MARCH, 2021**

I have examined relevant Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Lark Trading and Finance Limited** maintained by **Satellite Corporate Services Pvt. Ltd.** pertaining to transfer of equity shares of the Company for the period from **1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the company and its Registrar & Transfer Agent, I hereby certify that the following:

That no Share/Debenture Certificate(s) relating to the Share Transfer Form(s) have been received during the period **1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021**, which could be entered in the Memorandum of Transfers which could have been issued within thirty (30) days from the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies in terms of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

**For Ojha & Associates,  
Company Secretaries**

**TANAY** Digitally signed  
by TANAY OJHA  
**OJHA** Date: 2021.04.09  
16:17:28 +05'30'

**Tanay Ojha  
Company Secretary  
Memb. No. A29658  
C P No. 10790**

**Place: Kanpur  
Date: 09.04.2021**