

**Annexure - I**

**Format of holding of specified securities**

Name of Listed Entity:

Lark Trading and Finance Limited

Symbol/Class of Security

LARK/Equity

Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)

Reg. 31(1)(b)

a. If under 31(1)(b) then indicate the report for Quarter ending

30-Sep-18

b. If under 31(1)(c) then indicate date of allotment/extinguishment

**Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1.	Whether the Listed Entity has issued any partly paid up shares?		No
2.	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3.	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4.	Whether the Listed Entity has any shares in locked-in?	Yes	
5.	Whether any shares held by promoters are pledge or otherwise encumbered?		No

*\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.*

5. The tabular format for disclosure of holding of specified securities is as follows:-

**Table I - Summary Statement holding of specified securities**

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)*	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class:Equity	Class:Debt	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)		(XIII)	(XIV)		
(A)	Promoter & Promoter Group	4	3150000	0	0	3150000	59.88	3150000	0	3150000	59.88	0	59.88	3150000	59.88	0	0	0
(B)	Public	197	2110000	0	0	2110000	40.12	2110000	0	2110000	40.12	0	40.12	1610000	30.61	0	0	125500
(C)	Non Promoter- Non Public																	0
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	201	5260000	0	0	5260000	100	5260000	0	5260000	100	0	100	4760000	90.49	0	0	125500

\*Corporate Action is to be executed as trading approval is pending after allotment of these shares

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) as a % of A+B+C2	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
								No of Voting Rights		Total as a % of Total Voting rights			No. (a)**	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)			
								Class X	Class Y									Total	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)		(XIII)	(XIV)			
1	Indian																		
(a)	Individual/Hindu Undivided Family*		4	3150000	0	0	3150000	59.88	3150000	0	3150000	59.88	0	59.88	3150000	59.88	0	0.00	0
	Ankit Tayal	AESPT4162N	1	750000	0	0	750000	14.25	750000	0	750000	14.25	0	14.25	750000	14.25	0	0.00	0
	Shivani Khara	BJQPK9926E	1	700000	0	0	700000	13.3	700000	0	700000	13.3	0	13.3	700000	13.3	0	0.00	0
	Gunvantl Jain	AFCPI5469F	1	900000	0	0	900000	17.12	900000	0	900000	17.12	0	17.12	900000	17.12	0	0.00	0
	Harl Om Tayal	AAFPT0492E	1	800000	0	0	800000	15.21	800000	0	800000	15.21	0	15.21	800000	15.21	0	0.00	0
(b)	Central Government/State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0	0	0	0	0	0	0.00	0	0.00	0	0.00	0
(d)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0.00	0	0.00	0	0.00	0
	Sub Total (A)(1)		4	3150000	0	0	3150000	59.88	3150000	0	3150000	59.88	0	59.88	3150000	59.88	0	0.00	0
2	Foreign				0	0			0	0	0	0	0						
(a)	Individual/Non Resident Individual/Foreign Individual		0	0	0	0	0	0	0	0	0	0	0	0.00	0	0.00	0	0.00	0
(b)	Government		0	0	0	0	0	0	0	0	0	0	0	0.00	0	0.00	0	0.00	0
(c)	Institutions		0	0	0	0	0	0	0	0	0	0	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0.00	0	0.00	0	0.00	0
(e)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0.00	0	0.00	0	0.00	0
	Sub Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		4	3150000	0	0	3150000	59.88	3150000	0	3150000	59.88	0	59.88	3150000	59.88	0	0.00	0

\* Transfer of Shareholding of 125500 equity shares, which is part of SPA, entered with previous Promoter, now shown in public Category, is kept in abeyance due to suspension of trading in the securities of the Company and the same is not included in the aforementioned promoter's shareholding till transfer of these shares to the current Promoters.

\*\*Corporate Action is to be executed as trading approval is pending after allotment of these shares



Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders	PAN	Nos. of share holder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked In shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)=(iv)+(v)+(vi)	(viii)	(ix)			(x)	(xi)=(vii)+(x)	(xii)	(xiii)		(xiv)		
1	Institutions																		
	(a) Mutual Fund		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	(b) Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	(c) Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	(d) Foreign Venture Capital Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	(e) Foreign Portfolio Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	(f) Financial Institutions/Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	(g) Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	(h) Provident Fund/Pensions Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	(i) Any Other Specify		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Sub Total (B)(1)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
2	Central Government/State Government/President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Sub Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
3	Non-Institutions																		
	(a) 1. Individual Shareholders holding Nominal Share Capital Up to 2 Lacs		184	270700	0	0	270700	5.14	270700	0	270700	5.14	0	5.14	0	0.00	0	0	
	2. Individual Shareholders holding Nominal Share Capital Above 2 Lacs		11	1783000	0	0	1783000	33.9	1783000	0	1783000	33.9	0	33.9	1610000	30.61	0	125500	
	1 Shiv Kumar Gupta	AXNPK7460Q	1	230000	0	0	230000	4.37	230000	0	230000	4.37	0	4.37	230000	4.37	0	0	
	2 Dinesh Kumar	AMYP5537L	1	230000	0	0	230000	4.37	230000	0	230000	4.37	0	4.37	230000	4.37	0	0	
	3 Nirli Kumar	AQFPK9474P	1	230000	0	0	230000	4.37	230000	0	230000	4.37	0	4.37	230000	4.37	0	0	
	4 Sameer Mittal	ANRPM4443M	1	230000	0	0	230000	4.37	230000	0	230000	4.37	0	4.37	230000	4.37	0	0	
	5 Nirmal Kumar	APBPK6386B	1	230000	0	0	230000	4.37	230000	0	230000	4.37	0	4.37	230000	4.37	0	0	
	6 Sunil Gupta	AAOPG6063B	1	230000	0	0	230000	4.37	230000	0	230000	4.37	0	4.37	230000	4.37	0	0	
	7 Kanika Gupta	BKWPG1095A	1	230000	0	0	230000	4.37	230000	0	230000	4.37	0	4.37	230000	4.37	0	0	
	8 Shruti Sarangi***	ACWPA3318D	1	88500	0	0	88500	1.69	88500	0	88500	1.69	0	1.69	0	0.00	0	88500	
	(b) NBFCs Registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0	0	
	(c) Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0	0	
	(d) Overseas Depositories (Holding DRs) (Balancing Figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0	0	
	(e) Any other (Specify)															0.00	0	0	
	(e1) Bodies Corporate		1	40000	0	0	40000	0.77	40000	0	40000	0.77	0	0.77	0	0.00	0	0	
	(e2) Non Resident Indians		0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0	0	
	(e3) Resident Indian HUF		1	16300	0	0	16300	0.31	16300	0	16300	0.31	0	0.31	0	0.00	0	0	
	(e4) Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0	0	
	(e5) Clearing Members/House		0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0	0	
	(e6) Others		0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0	0	
	Sub Total (B)(3)		197	2110000	0	0	2110000	40.12	2110000	0	2110000	40.12	0	40.12	1610000	30.61	0	125500	
	Total Public Shareholding (B)= (B)(1)+(B)(2)+ B (3)		197	2110000	0	0	2110000	40.12	2110000	0	2110000	40.12	0	40.12	1610000	30.61	0	125500	

\*\*\* Shares Forming part of SPA pursuant to Open offer made by Current Promoters, transfer of which is kept in abeyance due to suspension of trading in the securities of the Company

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total no. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (Including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (Not Applicable)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
								Class X	Class Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)= (VII)+(X)	(XII)		(XIII)	(XIV)		
Custodian/DR																		
Name of DR Holder (if Available)		0	0	0		0	0				0	0	0.00	N.A.			0	
Employees Benefit Trust (Under SEBI (Share Based Employee Benefit Regulation 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Name of DR Holder (if Available)		0	0	0		0	0				0	0	0.00	N.A.			0	
Total Non Promoter Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0		0	0				0	0	0.00	N.A.			0	

For and on behalf of  
Lark Trading and Finance Limited

  
Sumit Toyal  
DIN: 06598044  
Whole Time Director