

# LARK TRADING AND FINANCE LIMITED

CIN: L34102UP1987PLC009222

REGD. OFFICE: C-273, Sector-63, Noida-201301, Uttar Pradesh  
Email:larktradingfinance@gmail.com website: www.larktrading.in

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Date: 22/10/2018

The Manager-Listing,  
**Metropolitan Stock Exchange of India Ltd.**  
Vibgyor Towers, 4<sup>th</sup> Floor, Plot No. C 62,  
G- Block, Opp. Trident Hotel  
BandraKurla Complex,  
Bandra (E), Mumbai- 400098

The Manager  
**The Calcutta Stock Exchange Ltd.**  
7, Lyons Range, Murgighata,  
BBD Bagh, Kolkata  
West Bengal – 700001

**Symbol: LARK**

**Scrip Code:022126**

**Subject: Compliance with Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sir,

Please find enclosed herewith Certificate by Practicing Company Secretary for the half year ended on 30<sup>th</sup> September, 2018 in compliance with the regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Kindly take the above information on your records.

Thanking you,

Yours Faithfully,  
**For Lark Trading and Finance Limited**



**Sumit Tayal**  
**Whole Time Director**  
**DIN: 06598044**



**JAIN P & ASSOCIATES**  
**Company Secretaries**  
C-273, II Floor, Sector-63, Noida-201301, Uttar Pradesh  
E-Mail: [preeticsjain@gmail.com](mailto:preeticsjain@gmail.com), Ph. +91-9599473294

**CERTIFICATE UNDER REGULATION 40(9) OF THE**  
**SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)**  
**REGULATIONS, 2015 FOR THE HALF YEAR ENDED ON 30<sup>TH</sup> SEPTEMBER, 2018**

I have examined all activities in relation to both Physical and Electronic Transfer facility relating to **Lark Trading and Finance Limited** maintained by the **Satellite Corporate Services Pvt. Ltd.** pertaining to transfer of equity shares of the Company for the period from **1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the company, I hereby certify that the Company has delivered during half year ended **30<sup>th</sup> September, 2018**:

Share/Debenture Certificate(s) relating to the Transfer of Share/Debenture received during the period from **1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018** as entered in the Memorandum of Transfers have been issued within thirty days from the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies in terms of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

**For Jain P & Associates,**  
**Company Secretaries**

PREETI  
MITTAL

Digitally signed by  
PREETI MITTAL  
Date: 2018.10.24  
18:07:20 +05'30'

**(Preeti Mittal)**

**M.NO. – 41759**

**C.P. NO.-17079**

**Date: 24.10.2018**

**Place: Noida**